

# Amendments to the Electricity Compliance Reporting Manual

May 2011

Economic Regulation Authority



WESTERN AUSTRALIA

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## Introduction

The Economic Regulation Authority (**Authority**) published the current Electricity Compliance Reporting Manual (**Manual**) on 1 July 2010.

The Authority published the Manual in order to provide:

- a consolidated list of the compliance obligations relevant to licensees, depending on their licence type;
- classification of compliance obligations to assist with reporting obligations;
- a self-assessment framework for licensees to facilitate compliance with licence conditions and report non-compliance to the Authority on a self-reporting basis; and
- details on the reports that licensees must provide to the Authority and the timing of these reports.

The Manual has been reviewed and updated by the Authority to align the compliance reporting obligations in the Manual with the new electricity licence templates that became effective on 13 January 2011. The Secretariat also identified a number of obligations relating to the *Electricity Industry (Customer Contracts) Regulations 2005* (**Customer Contracts Regulations**) that needed to be included in the Manual. There have also been miscellaneous amendments and restructuring of the document to improve legibility.

The changes made to the Manual are mainly administrative in nature. The amendments to the Manual can be classified into three groups:

- 1) Amending the Manual to reflect the changes made to the electricity licence templates – including new licence clause numbers, deletion of redundant obligations that have been removed from the licence, inclusion of new licence obligations and adding a new section to the Manual that specifically addresses licence conditions that only apply to certain licensees and are deviations from the general licence templates.
- 2) Adding a new section to address obligations in relation to the Customer Contracts Regulations.
- 3) Miscellaneous amendments to the Manual to restructure the document to improve legibility.

The remainder of this document provides details of the amendments that have been made to the amended Manual with reference to the Manual that was published in July 2010.

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# Amendments to the Manual

## Section 1 – Introduction

Minor amendments to the text.

## Section 2 – Amendment to the Code of Conduct

The entire section has been deleted and replaced with “Section 2 – Amending this Reporting Manual”.

## Section 3 – New Performance Reporting Obligations

The entire section has been deleted and replaced with “Section 3 – Performance Reporting” which provides details about the nature of licensees’ performance reporting requirements, including the timing of reporting and lodging requirements.

## Section 4 – Transition to New Reporting Requirements

The entire section has been deleted and replaced with “Section 4 – Classification of Compliance Obligations” which provides details on the criteria for compliance obligations classification.

## Section 5 – Compliance Reporting Requirements

This section has been renamed “Compliance Reporting”.

Sub-section “5.1 Classification of Conditions” has been extracted and replaced as Section 4 of the amended Manual.

Sub-section “5.2 Immediate Notification” has been extracted and replaced, with minor rewording, as sub-section 5.1 of the amended Manual.

Sub-section “5.3 Compliance Reports” has been extracted and replaced, with minor rewording, as sub-section 5.2 of the amended Manual.

Sub-section “5.4 Reporting Cycle” has been deleted and incorporated in sub-section 3.1 and sub-section 5.1.1 as applicable.

Sub-section “5.5 Lodging Immediate Notifications, Compliance Reports and Data Sheets” has been deleted and incorporated in sub-section 3.2, sub-section 5.1 and sub-section 5.2.2 as applicable.

## Section 6 – Compliance Report Template

Minor re-wording made to template.

## Section 7 – Format for Reporting Non-Compliances

This section has been reworded to specify that Schedule A has been provided as the format for reporting non-compliances on an annual basis (i.e. as an attachment to the annual compliance report).

## **Section 8 – Type 1 Reporting Obligations for all Licence Types**

No change.

## **Section 9 – Electricity Industry Customer Transfer Code – Licence Conditions and Obligations**

No change.

## **Section 10 – Electricity Industry (Obligation to Connect) Regulations – Licence Conditions and Obligations**

No change

## **Section 11 – Electricity Industry (Licence Conditions) Regulations – Licence Conditions and Obligations**

This section has been deleted in its entirety and replaced with a new section “Electricity Industry (Customer Contracts) Regulations – Licence Conditions and Obligations”. The items in section 11 (i.e. No. 78, 79 and 80 have been moved to section 17 of the amended Manual).

## **Section 12 – Electricity Industry Act – Licence Conditions and Obligations**

The “Type” for No.85 (No. 105 in the amended Manual) has been changed from Type NR (not reportable) to Type 2.

No. 90, 91 and 92 have been deleted and moved to section 17 of the amended Manual.

## **Section 13 – Electricity Licences – Licence Conditions and Obligations**

The “Note” regarding licence condition numbering has been deleted.

Licence number references have been amended to line up with the new electricity licence templates.

No. 102, 103 and 104 have been deleted (those obligations have been removed from the licence).

## **Section 14 – Code of Conduct – Licence Conditions and Obligations**

Licence number references have been amended to line up with the new electricity licence templates.

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No. 140 and 141 (No.154 and 155 in the amended Manual) make reference to clause 23.1 of the Integrated Regional licence and clause 23.1 of the Retail licence in the amended Manual.

No. 281 has been deleted (obligation removed from licence).

## **Section 15 – Electricity Industry Metering Code – Licence Conditions and Obligations**

No.326 (No. 339 of the amended Manual) - Deleted “Generation” and “Retail” from the “Licensee” column.

## **Section 16 – Electricity Industry (Network Quality and Reliability of Supply) Code – Licence Conditions and Obligations**

No change.

## **Section 17 – Performance Reporting Obligations**

Replaced as section 18 in the amended Manual.

## **New Section 17 – Electricity Licences – Licence Specific Conditions and Obligations**

The new section 17 deviates slightly in format from sections 8-16, in that the “Licensee” column does not refer to licence type, but instead reference is made to specific licence holders.

Added new obligation (No. 483) in relation to Electricity Industry (Licence Conditions) Regulations regulation 8 with respect to draft renewable source electricity contract.

No. 484 – No. 80 extracted from section 11 (note: Section 11 has been deleted in its entirety in the amended Manual).

No.485 – No.78 extracted from section 11 (note: Section 11 has been deleted in its entirety in the amended Manual).

No.486 – No.79 extracted from section 11 (note: Section 11 has been deleted in its entirety in the amended Manual).

No.487 – No.90 extracted from section 12 (with some rewording).

No.488 – No.91 extracted from section 12 (with some rewording).

No.489 – No.92 extracted from section 12 (with some rewording).

The following obligations have been added:

- No.490 and 491 – in relation to trouble call fault management system, applicable to Western Power;

- No.492 – in relation to customer contracts, applicable to Clear Energy; and
- No.493 – in relation to Customer Contracts Regulations regulation 40, applicable to Horizon Power and Synergy.